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Name: Tilak Lal 2019-2020

RUTGERS
THE STATE UNIVERSITY
OF NEW JERSEY

Annual Conflict of Interest Form for Members of the
Rutgers Boards of Governors and Trustees, Camden Board of Directors and University Officers

1. Please list any occupation, trade, business, profession or employment presently engaged in by you and each member of your immediate family[1]:

   me: SVP, Risk Management, Franklin Templeton Investments

2. Do you hold a license issued by a state agency that entitles you to engage in a particular occupation, trade, business, profession or employment?

   No

   If yes, please list the license held, the issuing state, and whether the license is active:

3. Are you or a member of your immediate family employed by the University or have you or a member of your immediate family received or expect to receive payment, compensation, or remuneration from the University?

   No

   If yes, please list the name of the individual, their relationship to you, and the position for which payment or compensation is received or expected to be received below:

4. Are you or a member of your immediate family an officer, director, trustee, partner (general or limited), employee or regularly retained consultant (compensated or uncompensated) of any company, firm or organization (profit or non-profit) that presently has business dealings with the University or which might reasonably be expected to have business dealings with the University in the coming year?

   No

   If yes, please list the name of the individual and their relationship to you, the name of the company, firm or organization, the position held, and the nature of the business which is currently being conducted with the University or which may reasonably be expected to be conducted with the University in the coming year:

[1] Immediate family (as used in University Policy 50.1.12) includes one's spouse, civil union partner, domestic partner, child, parent or sibling residing in the same household (pursuant to the definition given in N.J.S.A. 52:13D-13.i.).

5. Do you or does any member of your immediate family have a financial interest of 10% or greater in a company, firm or organization which currently has business dealings with the University or which may reasonably be expected to have such business dealings with the University in the coming year?

No

If yes, please list the name of the individual holding the interest and their relationship to you, the name of the company, firm or organization, the nature of the interest, and the nature of the business which is currently being conducted with the University, or which may reasonably be expected to be conducted with the University in the coming year:

6. Have you or a member of your immediate family accepted gifts, gratuities, lodging, dining, or entertainment that might reasonably appear to influence your judgment or actions concerning the business of the University?

No

If yes, please provide details below:

If any material change to the responses provided on the annual Conflict of Interest Form occurs before the next form is due, the Board member or officer is required to update the information on the form in writing and submit the update to the Secretary of the University.

I agree to update the foregoing disclosures at any time when material changes to my circumstances occur which would change my responses to these questions.

I certify that I have read the Conflicts of Interest Policy adopted by the Rutgers Board of Governors on and the Board of Trustees on June 20, 2012, and I agree to be governed by its terms. I also agree not to disclose any confidential information acquired in the course of University service, which is not generally available to the public.

Name (print) Tilak Lal _____ Date October 11, 2019

Signature DocuSigned by: Tilak Lal 023087177BCD4D9... _____